

# Type inference in Java 8

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10. Januar 2013

# Overview

## Introduction

- Research overview

- Lambda-expressions

- Java 8 features

- Type-inference in Java 8

## Type inference algorithm TI

- The function TYPE

  - The sub-function TYPEExpr

  - The sub-function TYPEStmt

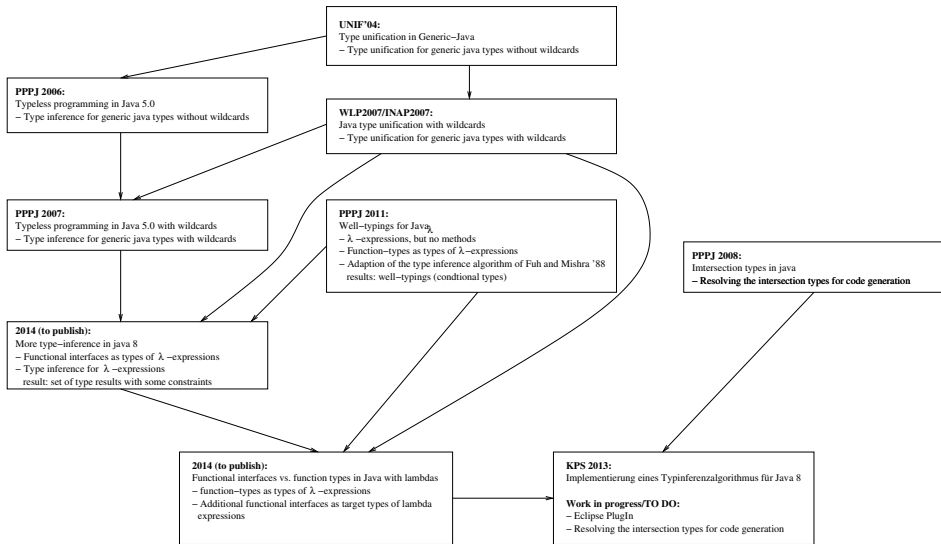
- The function SOLVE

- The whole algorithm TI

## Implementation

## Summary and Outlook

Martin Plümicke's research on type inference for Java with generics and lambda expressions (version 5 – 8)



# History of Java type system

## Version 1:

- ▶ Subtyping on classes (without parameters)

Ex.: `Integer  $\leq^*$  Object`

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- ▶ Subtyping extension  
Ex.: `Matrix ≤* Vector<Vector<Integer>>`
- ▶ Wildcards (with lower and upper bounds)  
Ex.: `Vector<? extends Integer>`

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- ▶ Subtyping extension  
Ex.: `Matrix ≤* Vector<Vector<Integer>>`
- ▶ Wildcards (with lower and upper bounds)  
Ex.: `Vector<? extends Integer>`

## Version 8: ▶ Lambda-expressions

- ▶ Functional interfaces, **but no function types**

# Motivating Example: *External Iteration*

```
public class Student {  
    String name;  
    int graduationYear;  
    double score; }  
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public class Student {
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List<Student> students = ...
double highestScore = 0.0;
for (Student s : students) {
    if (s.gradYear == 2013) {
        if (s.score > highestScore) {
            highestScore = s.score;
        }
    }
}
```



## Motivating Example: *External Iteration*

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public class Student {  
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        if (s.score > highestScore) {  
            highestScore = s.score;  
        }  
    }  
}
```

- ▶ external iteration
- ▶ serial iteration
- ▶ not thread-safe

## Motivating Example: *Inner classes*

```
public class Student {  
    String name;  
    int graduationYear;  
    double score; }  

```

```
SomeCoolList<Student> students = ...  
double highestScore =  
    students.filter(new Predicate<Student>() {  
        public boolean op(Student s) {  
            return s.getGradYear() == 2013; }  
    })
```

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            return s.getGradYear() == 2013; }  
    }).map(new Mapper<Student, Double>() {  
        public Double extract(Student s) {  
            return s.getScore(); }  
    })
```

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    }).max();
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- ▶ internal iteration by inner classes
- ▶ traversal may be done in parallel
- ▶ but ugly syntax

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Idea: Lambda-expressions

# Lambda-expression

Well-known from functional programming languages.

**Example:** Identity-function

```
\x -> x -- HASKELL
```

```
fn x => x -- SML
```

```
(lambda (x) x) -- SCHEME
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**Application:**  $(\lambda x \rightarrow x) 1 = 1$



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```
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```

```
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**Application:**  $(\lambda x \rightarrow x) 1 = 1$

**Function-types:**  $(\lambda x \rightarrow x) :: a \rightarrow a$

# Motivating Example: *Lambda-expressions*

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public class Student {  
    String name;  
    int graduationYear;  
    double score; }  
}
```

```
SomeCoolList<Student> students = ...  
double highestScore =  
    students.filter(Student s -> s.getGradYear() == 2013)  
        .map(Student s -> s.getScore())  
        .max();
```

# Motivating Example: *Lambda-expressions*

```
public class Student {  
    String name;  
    int graduationYear;  
    double score; }  
}
```

```
SomeCoolList<Student> students = ...
```

```
double highestScore =
```

```
    students.filter(Student s -> s.getGradYear() == 2013)  
        .map(Student s -> s.getScore())  
        .max();
```

- ▶ Lambda-expressions
- ▶ more readable

# Java 8 features

- ▶ Lambda-expressions
- ▶ functional interfaces
- ▶ restricted type inference
- ▶ method references
- ▶ default methods

# Functional interfaces (SAM-Types)

An interface with one method

**Example:**

```
interface Operation {  
    public int op (int x, int y);  
}  
  
int doOp(Operation o, int a, int b)  
{  
    return o.op(a, b);  
}  
...
```

# Functional interfaces (SAM-Types)

An interface with one method

**Example:**

```
interface Operation {
    public int op (int x, int y);
}

int doOp(Operation o, int a, int b)
{
    return o.op(a, b);
}
...

doOp((int x, int y) -> x + y, 5, 7);
```

# Functional interfaces in the Java library

```
interface Comparator<T> { int compare(T x, T y); }  
interface FileFilter    { boolean accept(File x); }  
interface DirectoryStream.Filter<T> { boolean accept(T x); }  
interface Runnable     { void run(); }  
interface ActionListener { void actionPerformed(...); }  
interface Callable<T>  { T call(); }
```

# Target types

- ▶ lambda expressions have **no explicit type**
- ▶ a **target type** is deduced from the context



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## Example:

**Operation** op = (int x, int y) -> x + y

⇒ Target type: **Operation**

**Runnable** op = (int x, int y) -> x + y

⇒ Target type: **Runnable**

# Target types

- ▶ lambda expressions have **no explicit type**
- ▶ a **target type** is deduced from the context

## Example:

**Operation** op = (int x, int y) -> x + y

⇒ Target type: **Operation**

**Runnable** op = (int x, int y) -> x + y

⇒ Target type: **Runnable**

Which is a correct target type?

# Functional interfaces as compatible target types

A lambda expression is *compatible* with a type  $T$ , if

- ▶  $T$  is a **functional interface** type
- ▶ The lambda expression has the **same number** of parameters as  $T$ 's method, and those **parameters' types are the same**
- ▶ Each **expression returned** by the lambda body is **compatible** with  $T$ 's method's return type
- ▶ Each **exception** thrown by the lambda body is **allowed by  $T$ 's method's** throws clause

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- ▶ Each **exception** thrown by the lambda body is **allowed by  $T$ 's method's** throws clause

**Lemma:** There is an **equivalence class** of compatible target types for a lambda expression.

# Canonical representative

For the **equivalence class** of the compatible target types of a lambda expression, there is a **canonical representative**

$$\text{Fun}N\langle R, T_1, \dots, T_N \rangle$$

with

```
interface FunN<R,T1, ..., TN>
    { R apply(T1 arg1 , ..., TN argN); }
```

if the type of the single method of a compatible target type is

$$(T_1, \dots, T_N) \rightarrow R$$

# Matrices in Java 8

```
class Matrix extends Vector<Vector<Integer>> {  
    //Matrix -> ((Matrix, Matrix) -> Matrix) -> Matrix  
    Fun1<Fun1<Matrix, Fun2<Matrix, Matrix,Matrix>>, Matrix>  
    op = (Matrix m) -> (Fun2<Matrix, Matrix,Matrix> f) ->  
        f.apply(this, m);  
}
```

# Matrices in Java 8

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    Fun1<Fun1<Matrix, Fun2<Matrix, Matrix,Matrix>>, Matrix>
    op = (Matrix m) -> (Fun2<Matrix, Matrix,Matrix> f) ->
        f.apply(this, m);

    //(Matrix, Matrix) -> Matrix
    Fun2<Matrix, Matrix,Matrix>
    mul = (Matrix m1, Matrix m2) -> {
        Matrix ret = new Matrix ();
        ... //matrix multiplication
        return ret; }
}

```

# Matrices in Java 8

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class Matrix extends Vector<Vector<Integer>> {
    //Matrix -> ((Matrix, Matrix) -> Matrix) -> Matrix
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    op = (Matrix m) -> (Fun2<Matrix, Matrix,Matrix> f) ->
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    //(Matrix, Matrix) -> Matrix
    Fun2<Matrix, Matrix,Matrix>
    mul = (Matrix m1, Matrix m2) -> {
        Matrix ret = new Matrix ();
        ... //matrix multiplication
        return ret; }

    public static void main(String[] args) {
        Matrix m1 = new Matrix(...);
        Matrix m2 = new Matrix(...);
        (m1.op.apply(m2)).apply(m1.mul);} } }

```



# Goal

```
class Matrix extends Vector<Vector<Integer>> {
```

```
    op = (m) -> (f) ->
        f.apply(this, m);
```

```
    mul = (m1, m2) -> {
        ret = new Matrix ();
        ... //matrix multiplication
        return ret; }
}
```

```
public static void main(String[] args) {
    Matrix m1 = new Matrix(...);
    Matrix m2 = new Matrix(...);
    (m1.op.apply(m2)).apply(m1.mul); } } }
```

# The language

*Source* := *class\**  
*class* := `Class(stype, [ extends( stype ), ] FieldDecl* )`  
*FieldDecl* := `Field( [type, ] var[, expr] )`  
*block* := `Block( stmt* )`  
*stmt* := `block | Return( expr ) | While( bexpr, block )`  
       | `LocalVarDecl( var[, type] ) | If( bexpr, block[, block] )`  
       | `stmtexpr`  
*lambdaexpr* := `Lambda( ((var[, type]))* , (stmt | expr) )`  
*stmtexpr* := `Assign( var, expr ) | New( stype, expr* )`  
       | `MethodCall( iexpr, apply, expr* )`  
*vexpr* := `LocalVar( var ) | InstVar( iexpr, var )`  
*iexpr* = `vexpr | stmtexpr | Cast( type, iexpr ) | this | super`  
*expr* := `lambdaexpr | iexp | bexp | sexp`

# Type-inference in Java 8

- ▶ Type parameter instantiation (Java 5.0):

`id: a → a`

`id(1)` : for `a` the type `Integer` is inferred.

- ▶ Diamond-operator (Java 7):

`Vector <Integer> v = new Vector <>`

- ▶ Parameter's type-inference in Lambda-expressions (Java 8):

$$(T1\ x1, \dots, TN\ xN) \rightarrow h(x1, \dots, xN)$$

The types `T1`, ..., `TN` can be inferred:

$$(x1, \dots, xN) \rightarrow h(x1, \dots, xN)$$

is a correct Lambda-expression in Java 8.

## More type inference

# Sketch of the algorithm TI

**TYPE:** Introduces fresh type variables and collects the constraints

**SOLVE:** Solves the constraints by type unification

# Data-structures

► **Set of type assumptions:**

$v : \theta$ : Assumptions for fields or local variables of the actual class.

$\tau.v : \theta$ : Assumptions for fields of the class  $\tau$ .

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► **Set of constraints:**

$$\{ \theta R \theta' \mid R \in \{ \leq, \leq?, \doteq \} \}$$

**TYPE**:  $\text{TypeAssumptions} \times \text{Class} \rightarrow \text{TClass} \times \text{ConstraintsSet}$



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**TYPE**( *Ass*,  $\text{Class}(\tau, \text{extends}(\tau'), \text{fdecls})$  ) =

let

*fdecls* = [Field( $f_1, \text{lexpr}_1$ ), ..., Field( $f_n, \text{lexpr}_n$ )]

*fypeass* = { *this.f<sub>i</sub>* : *a<sub>i</sub>* | *a<sub>i</sub>* fresh type variables }

∪ { *this* :  $\tau$ , *super* :  $\tau'$  }

∪ { *visible types of fields of*  $\tau'$  }

*AssAll* = *Ass* ∪ *fypeass*

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∪ {  $\text{this} : \tau, \text{super} : \tau'$  }

∪ { *visible types of fields of  $\tau'$*  }

*AssAll* = *Ass* ∪ *fypeass*

**Forall**  $1 \leq i \leq n$

( $\text{lexp}_{i_t} : \text{rty}F_i, \text{ConSF}_i$ ) = **TYPEExpr**( *AssAll*,  $\text{lexpr}_i$  )

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*fdecls*<sub>*t*</sub> =

[Field(  $a_1, f_1, \text{lexpr}_{1_t} : \text{rty}F_1$  ), ..., Field(  $a_n, f_n, \text{lexpr}_{n_t} : \text{rty}F_{n_t}$  )]

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*ftypeass* =  $\{ \text{this}.f_i : a_i \mid a_i \text{ fresh type variables} \}$

$\cup \{ \text{this} : \tau, \text{super} : \tau' \}$

$\cup \{ \text{visible types of fields of } \tau' \}$

*AssAll* = *Ass*  $\cup$  *ftypeass*

**Forall**  $1 \leq i \leq n$

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*fdecls*<sub>*t*</sub> =

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in

$\text{Class}(\tau, \text{extends}(\tau'), \text{fdecls}_t),$

$(\cup_i \text{ConSF}_i \cup \{ (\text{rty}F_i \triangleleft a_i) \mid 1 \leq i \leq n \})$

**TYPE**Expr: TypeAssumptions  $\times$  Expr  $\rightarrow$  TExpr  $\times$  ConstraintsSet



For MethodCall:

**TYPEExpr**( Ass, MethodCall( re, apply( e<sub>1</sub>, ..., e<sub>n</sub> ) ) ) =

let

( re<sub>t</sub> : rty, ConS ) = **TYPEExpr**( Ass, re )

( e<sub>i</sub> : rty<sub>i</sub>, ConS<sub>i</sub> ) = **TYPEExpr**( Ass, e<sub>i</sub> ), ∀ 1 ≤ i ≤ n

in

( MethodCall( re<sub>t</sub> : rty, apply( e<sub>1</sub> : rty<sub>1</sub>, ..., e<sub>n</sub> : rty<sub>n</sub> ) ) : a,

( ConS ∪ ∪<sub>i</sub> ConS<sub>i</sub> ) ∪ { rty < FunN < a, a<sub>1</sub>, ..., a<sub>N</sub> > }

∪ { rty<sub>i</sub> < a<sub>i</sub> | 1 ≤ i ≤ N }

where a<sub>1</sub>, ..., a<sub>N</sub> and a are fresh type variables

**TYPE**stmt: TypeAssumptions  $\times$  Stmt  $\rightarrow$  TStmt  $\times$  ConstraintsSet



**TYPEstmt**:  $\text{TypeAssumptions} \times \text{Stmt} \rightarrow \text{TStmt} \times \text{ConstraintsSet}$

For Return:

**TYPEstmt**( *Ass*, **Return**( *e* ) ) =  
  **let**  
    (*e*<sub>*t*</sub> : *rty*, *ConS*) = **TYPEExpr**( *Ass*, *e* )  
  **in**  
    (**Return**( *e*<sub>*t*</sub> : *rty* ) : *rty*, *ConS*)

For Block:

```
TYPEstmt( Ass, Block( s ) ) =  
  let  
    (st : rty, ConS) = TYPEstmt( Ass, s )  
  in  
    (Block( st : rty ) : rty, ConS)
```

For Block:

$$\begin{aligned} \text{TYPEStmt}( \text{Ass}, \text{Block}( s ) ) = \\ \text{let} \\ (s_t : rty, \text{ConS}) = \text{TYPEStmt}( \text{Ass}, s ) \\ \text{in} \\ (\text{Block}( s_t : rty ) : rty, \text{ConS}) \end{aligned}$$

$$\begin{aligned} \text{TYPEStmt}( \text{Ass}, \text{Block}( s_1, \dots, s_n ) ) = \\ \text{let} \\ (s_{1_t} : rty_1, \text{ConS}_1) = \text{TYPEStmt}( \text{Ass}, s_1 ) \\ (\text{Block}( s_{2_t}, \dots, s_{n_t} ) : rty_2, \text{ConS}_2) = \\ \text{TYPEStmt}( \text{Ass}, \text{Block}( s_2, \dots, s_n ) ) \\ \text{in} \\ (\text{Block}( s_{1_t} : rty_1, s_{2_t}, \dots, s_{n_t} ) : a, \\ \text{ConS}_1 \cup \text{ConS}_2 \cup \{ ( rty_1 \triangleleft a ), ( rty_2 \triangleleft a ) \} ) \\ \text{where } a \text{ is a fresh type variable} \end{aligned}$$

# Example

```
class Matrix extends Vector<Vector<Integer>> {  
    op = (m) -> (f) -> f.apply(this, m); }
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```

The result contains:

```
lexpr1t =
  Lam(m : am,
    Lam(f : af,
      MCall(LoVar(f) : af,
        apply(this : Matrix,
          LoVar(m) : am)) : a3) : Fun1<aapp, af>) : Fun1<aλf, am>
```

## Example

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```

The result contains:

```
lexpr1t =
  Lam(m : am,
    Lam(f : af,
      MCall(LoVar(f) : af,
        apply(this : Matrix,
          LoVar(m) : am)) : a3) : Fun1<aapp, af>) : Fun1<aλf, am>
```

and the set of constraint:

```
{ (Fun1<aλf, am> <= aop), (Fun1<aapp, af> <= aλf),
  (af <= Fun2<a3, a1, a2>), (Matrix <= a1), (am <= a2), (a3 <= aapp) }
```

**SOLVE**:  $\text{ConstraintsSet} \rightarrow \text{Constraints\_SubstSet} \cup \{ \textit{fail} \}$

**SOLVE**( *ConS* ) =

**let** *subs* = **TUnify**( *ConS* )

**in**

**if** (there are  $\sigma \in \textit{subs}$  in solved form) **then**

$\{ \sigma \in \textit{subs} \mid \sigma \text{ is in solved form} \}$

**if** (there are  $\sigma \in \textit{subs}$ , which has the form

$\{ v R v' \mid v, v' \text{ are type vars} \} \cup \{ v \doteq \theta \mid v \text{ is a type vars} \}$ )

**then**

$\{ v R v' \mid v, v' \text{ are type vars} \} \cup \{ v \doteq \theta \mid v \text{ is a type vars} \}$

**else** *fail*

## The sub-function **TUnify**<sup>1</sup>

**TUnify**:  $\text{ConstraintsSet} \rightarrow \{ \text{ConstraintsSet} \} \cup \{ \text{fail} \}$

Input:  $\{ (\theta_1 \triangleleft \theta'_1), \dots, (\theta_n \triangleleft \theta'_n) \}$

Output:  $\{ \sigma_1, \dots, \sigma_m \}$

Post-condition:  $\forall_j \{ (\sigma_j(\theta_1) \leq^* \sigma_j(\theta'_1)), \dots, (\sigma_j(\theta_n) \leq^* \sigma_j(\theta'_n)) \}$   
where  $\leq^*$  is the sub-typing relation

---

<sup>1</sup>[Pluemicke 2009]: *Java type unification with wildcards*, INAP 07



$$\mathbf{TI}: \text{TypeAssumptions} \times \text{Class} \rightarrow \{(\text{Constraints}, \text{TClass})\} \cup \{\text{fail}\}$$

**TI**:  $\text{TypeAssumptions} \times \text{Class} \rightarrow \{(\text{Constraints}, \text{TClass})\} \cup \{\text{fail}\}$

**TI**( *Ass*,  $\text{Class}(\tau, \text{extends}(\tau'), \text{fdecls})$  ) =

**let**

$(\text{Class}(\tau, \text{extends}(\tau'), \text{fdecls}_t), \text{ConS}) =$   
**TYPE**( *Ass*,  $\text{Class}(\tau, \text{extends}(\tau'), \text{fdecls})$  )  
 $\{(\sigma_1, \sigma_1), \dots, (\sigma_n, \sigma_n)\} = \text{SOLVE}(\text{ConS})$

**in**

$\{(\sigma_i, \sigma_i(\text{Class}(\tau, \text{extends}(\tau'), \text{fdecls}_t))) \mid 1 \leq i \leq n\}$

# Example

$$\text{ConS} = \{ (\text{Fun1} \langle a_{\lambda f}, a_m \rangle \triangleleft a_{\text{op}}), \\ (\text{Fun1} \langle a_{\text{app}}, a_f \rangle \triangleleft a_{\lambda f}), (a_f \triangleleft \text{Fun2} \langle a_3, a_1, a_2 \rangle), \\ (\text{Matrix} \triangleleft a_1), (a_m \triangleleft a_2), (a_3 \triangleleft a_{\text{app}}) \}$$

## Example

$$\text{Cons} = \{ (\text{Fun1}\langle a_{\lambda f}, a_m \rangle \triangleleft a_{\text{op}}), \\
 (\text{Fun1}\langle a_{\text{app}}, a_f \rangle \triangleleft a_{\lambda f}), (a_f \triangleleft \text{Fun2}\langle a_3, a_1, a_2 \rangle), \\
 (\text{Matrix} \triangleleft a_1), (a_m \triangleleft a_2), (a_3 \triangleleft a_{\text{app}}) \}$$

### The result of SOLVE (without wildcard-types):

$$\{ (\{ a_m \triangleleft a_2, a_3 \triangleleft a_{\text{app}} \} \cup \\
 \{ a_{\text{op}} \doteq \text{Fun1}\langle \text{Fun1}\langle a_{\text{app}}, \text{Fun2}\langle a_3, \text{Matrix}, a_2 \rangle \rangle, a_m \rangle, \\
 a_{\lambda f} \doteq \text{Fun1}\langle a_{\text{app}}, \text{Fun2}\langle a_3, \text{Matrix}, a_2 \rangle \rangle, \\
 a_f \doteq \text{Fun2}\langle a_3, \text{Matrix}, a_2 \rangle, a_1 \doteq \text{Matrix} \} ) \\
 (\{ a_m \triangleleft a_2, a_3 \triangleleft a_{\text{app}} \} \cup \\
 \{ a_{\text{op}} \doteq \text{Fun1}\langle \text{Fun1}\langle a_{\text{app}}, \text{Fun2}\langle a_3, \text{Vec}\langle \text{Vec}\langle \text{Int} \rangle \rangle, a_2 \rangle \rangle, a_m \rangle, \\
 a_{\lambda f} \doteq \text{Fun1}\langle a_{\text{app}}, \text{Fun2}\langle a_3, \text{Vec}\langle \text{Vec}\langle \text{Int} \rangle \rangle, a_2 \rangle \rangle, \\
 a_f \doteq \text{Fun2}\langle a_3, \text{Vec}\langle \text{Vec}\langle \text{Int} \rangle \rangle, a_2 \rangle, a_1 \doteq \text{Vec}\langle \text{Vec}\langle \text{Int} \rangle \rangle \} ) \}$$

## Example cont.

```
class Matrix<a_2, a_m extends a_2, a_app, a_3 extends a_app>  
extends Vector<Vector<Integer>> {  
    Fun1<Fun1<a_app, Fun2<a_3, Matrix,a_2>>, a_m>  
    op = (a_m m) -> (Fun2<a_3, Matrix,a_2> f) ->  
        f.apply(this, m); }
```

## Example cont.

```
class Matrix<a_2, a_m extends a_2, a_app, a_3 extends a_app>  
extends Vector<Vector<Integer>> {  
    Fun1<Fun1<a_app, Fun2<a_3, Matrix,a_2>>, a_m>  
    op = (a_m m) -> (Fun2<a_3, Matrix,a_2> f) ->  
        f.apply(this, m); }
```

**more principal than ...**

```
class Matrix  
extends Vector<Vector<Integer>> {  
    Fun1<Fun1<Matrix, Fun2<Matrix, Matrix,Matrix>>, Matrix>  
    op = (Matrix m) -> (Fun2<Matrix, Matrix,Matrix> f) ->  
        f.apply(this, m); }
```

## Solution of multiple results

1. Select one correct type in an Eclipse-Plugin.  
⇒ standard generation of byte-code
2. Extend Java type-system by a restricted form of intersection types.  
⇒ a changed generation of byte-code is necessary<sup>2</sup>

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<sup>2</sup>[Pluemicke 2008] ,Intersection Types in Java, PPPJ'08]

# Implementation

## Java 8:

- ▶ ThisTest
- ▶ TestLambda
- ▶ Matrix (TestFunN)

## Outlook: Methods

- ▶ TestMethodCall

## Java 7: Overloading

- ▶ OL



# Summary and Outlook

## Summary

- ▶ Complete type inference for a core of Java 8.
- ▶ Prototypical implementation

# Summary and Outlook

## Summary

- ▶ Complete type inference for a core of Java 8.
- ▶ Prototypical implementation

## Outlook

- ▶ Methods with overloading and overriding.
- ▶ Introduction of intersection types in the code generation